



IN THE UNITED STATES PATENT OFFICE

Application Number: 09/910,608

Re: CONFIRMATION NO. 2166  
FORMALITIES LETTER

Application Filed: 7/23/2001

and, NOTICE OF INCOMPLETE  
REPLY (NONPROVISIONAL)

Applicant: James A. Lehman

Mailed: 10/26/01

RESPONSE

Enclosed is a substitute specification, and claims, and abstract which are totally typewritten, and are therefore in compliance with 37 CFR 1.52.

Regarding the specification you will note that the appendix papers (which were greatly marked up, with hand written notations - some being fully handwritten etc.) have been withdrawn on the advice of Mark Polutta, Legal Assistant at the PTO, who told me that would be a simple way to deal with the objections.

Further, it must be noted that the appendixes were "frosting on the cake" and not at all necessary to explain the invention. However, I told Mark that I would like to have them made a part of the file folder so as to "help the office action examiner to fully understand the invention" and Mark said I then should file an IDS (Information Disclosure Statement, PTO form SB08) in a month or two, which I will do. Note: I called Mark on Oct. 30, 2001, at 10:00 AM, approximately, Central Time.

Regarding the matter of "formal drawings" I spoke with Robert Clark, Legal Assistant at the PTO, and he told me to state "Disregard previously submitted papers of Sept. 24, 2001 and accept the new specification provided. And, utilize the drawings as originally filed with the patent application, disregarding the drawings which were mistakenly intertwined with the specification of Sept. 24., which was mailed in response to the

formalities letter of 9/14/2001." Note: I spoke with Robert also on Oct. 30, 2001, at approximately 10:50 AM, Central Time.

Finally, I enclose my check for \$261.00 for the balance due by applicant.

Respectfully,



James A. Lehman  
P. O. Box 373  
Fond du Lac, WI 54936

Mailed via Certified Mail # 7000 0600 0029 1611 7035  
with a Return Receipt on Nov. 2, 2001



# UNITED STATES PATENT AND TRADEMARK OFFICE

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#6 Page 1 of 2

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/910,608	07/23/2001	James A. Lehman	



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## CONFIRMATION NO. 2166 FORMALITIES LETTER



\*OC000000006981421\*

Date Mailed: 10/26/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

### *Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 09/27/2001 to the Notice to File Missing Parts (Notice) mailed 09/14/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

- Total additional claim fee(s) for this application is \$261.
  - \$126 for 14 total claims over 20.
  - \$135 for multiple dependent claim surcharge.
- The balance due by applicant is \$ 261.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Specification, claims, or abstract pages are not typewritten or mechanically printed in permanent dark ink on one side (See 37 CFR 1.52(a) and (b)).
- The specification contains drawings or flow diagrams (37 CFR 1.58(a)). Formal drawings in accordance with 37 CFR 1.81 should be submitted.

01/16/2002 BNNGUYEN1 00000025 09910608

01 FC:204  
02 FC:203  
03 FC:197

140.00-OP  
117.00 OP  
4.00 OP

COPY OF PAPERS  
ORIGINALLY FILED

*A copy of this notice **MUST** be returned with the reply.*

*[Signature]*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE